

04-12-01 A

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCEUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. AP33154-070457.1000
First Inventor Edward J. Hogan
Title An Improved Method * see attached
Express Mail Label No. EF321686857 USJ6649 U.S. PTO
04/10/01C9B3 U.S. PTO
09/833049
04

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 21]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description

Claim(s) [Total Sheets 6]
 Abstract of the Disclosure [Total Sheets 1]

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

5. Oath or Declaration [Total Pages]

a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper

c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Copies of IDS
Statement (IDS)/PTO-1449 Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP)

of prior application No.:

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21003 (Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Robert C. Scheinfeld	Registration No. (Attorney/Agent)	31,300
Signature	April 11, 2001		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Addendum Sheet 1***Question 4 Addendum**4.a. Drawings are formal informal*** Question 5 Addendum**5.c. An unsigned oath or declaration is included.*** Question 8 Addendum**8.d. A sequence submission will follow.*** Question 9 Addendum**9.a. Assignment documents will follow.9.b. Assignment documents have been filed in parent application No.*** Question 11 Addendum**11.a. English translation will follow.*** Question 12 Addendum**12.a. Copies of IDS citations will follow.*** Question 15 Addendum**15.a. Certified copies of priority documents will follow.15.b. Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

Title (continued):

An Improved Method and System for Conducting Secure Payments Over a Computer Network

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No.: _____

Group Art Unit: _____

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No.: _____

Group Art Unit: _____

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No.: _____

Group Art Unit: _____

Amend the specification by inserting before the first line the sentence(s)

"This application is a :

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

"This application is a :

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

"This application is a :

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

"This application is a :

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

Foreign:

Country: _____ Serial No: _____ Filing Date: _____

Country: _____ Serial No: _____ Filing Date: _____

Country: _____ Serial No: _____ Filing Date: _____

Provisional:

Serial No: 60/195,963 Filing Date: April 11, 2000

Serial No: non-provisional 09/809,367 Filing Date: March 15, 2001

Serial No: _____ Filing Date: _____

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710)

Complete if Known

Application Number	To Be Assigned
Filing Date	
First Named Inventor	Edward J. Hogan
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	AP33154-070457.1000

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number **02-4377**
 Deposit Account Name **Baker Botts LLP**
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee		710	
320	160	Design filing fee			
490	245	Plant filing fee			
710	355	Reissue filing fee			
150	75	Provisional filing fee			
SUBTOTAL (1) (\$ 710)					

2. EXTRA CLAIM FEES

Total Claims	13	20** =	0	x	Fee from below	Fee Paid
Independent Claims	3	-3** =	0	x		0
Multiple Dependent						

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	
18	9	Claims in excess of 20			
80	40	Independent claims in excess of 3			
270	135	Multiple dependent claim, if not paid			
80	40	** Reissue independent claims over original patent			
18	9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$ 0)					

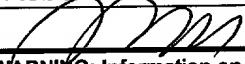
**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath			
50	25	Surcharge - late provisional filing fee or cover sheet			
130	130	Non-English specification			
2,520	2,520	For filing a request for ex parte reexamination			
920*	920*	Requesting publication of SIR prior to Examiner action			
1,840*	1,840*	Requesting publication of SIR after Examiner action			
110	55	Extension for reply within first month			
390	195	Extension for reply within second month			
890	445	Extension for reply within third month			
1,390	695	Extension for reply within fourth month			
1,890	945	Extension for reply within fifth month			
310	155	Notice of Appeal			
310	155	Filing a brief in support of an appeal			
270	135	Request for oral hearing			
1,510	1,510	Petition to institute a public use proceeding			
110	55	Petition to revive - unavoidable			
1,240	620	Petition to revive - unintentional			
1,240	620	Utility issue fee (or reissue)			
440	220	Design issue fee			
600	300	Plant issue fee			
130	130	Petitions to the Commissioner			
50	50	Processing fee under 37 CFR 1.17(q)			
180	180	Submission of Information Disclosure Stmt			
40	40	Recording each patent assignment per property (times number of properties)			
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))			
710	355	For each additional invention to be examined (37 CFR § 1.129(b))			
710	355	Request for Continued Examination (RCE)			
900	900	Request for expedited examination of a design application			
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Robert C. Scheinfeld	Registration No. (Attorney/Agent)	31,300	Telephone 212-408-2512
Signature		Date	April 11, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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